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PAPER

Spirocyclic systems derived from pyroglutamic acid[†]

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The synthesis and likely conformational structure of rigid spirocyclic bislactams and lactam-lactones derived from pyroglutamic acid, and their suitability as lead structures for applications in drug development programmes using cheminformatic analysis, has been investgated.

The re-examination of the function and availability of natural products, and natural product inspired synthesis,¹⁻³ has proved to be a key impetus in recent drug development.4,5 Spirocyclic lactams have become of considerable interest, as a result of their occurrence in structurally complex (e.g. the spiropyrrolidinyloxindole alkaloids^{6,7}) or bioactive natural products⁸ (e.g. alstonisine,⁹ amathaspiramide,¹⁰ azaspirene,¹¹ elacomine,¹² horsfiline,¹³ pseurotin,¹⁴ and spiroptryprostatin¹⁵ (Fig. 1)). They are also of note due to their resemblance to natural products,¹⁶ and novel spiropyrrolizidines as potent antimicrobial agents for human and plant pathogens,17 spiroindolones as antimalarials18 and spirocyclic lactams as inhibitors of p53:MDM2 have all been reported.19 These systems have also come to prevalence for their use in templates, scaffolds and bioisosteres due to the orthogonal relationship between the two key planes of the intersecting heterocyclic rings²⁰ which offers interesting opportunities for conformational control and the design of well-defined molecular architecture, and spiro-bis-\delta-lactams based on pyroglutamate²¹ and proline²² templates have been prepared for their potential as β-turn mimetics. However, the core spirocyclic system is of further interest since it obeys the 'Rule of Three' (M <300; Number of Hydrogen bond donors ≤ 3 and acceptors ≤ 3 ; cLogP = 3; Number of rotatable bonds \leq 3; Polar Surface Area = 60 Å²) which has been suggested to be optimal for the construction of fragment libraries,^{23,24} and ring modification giving natural product analogues might easily be envisaged. Since the neglect of chiral centres has been recognized as a key deficiency of drug discovery methodology,25 we have been interested in the development of novel chiral template systems suitable for library synthesis against diverse disease states^{26,27} and have shown that conformationally controlled amino acid analogues may be readily accessed28,29 by, for example, cycloadditions30 and by electrophilemediated ring closure.26 We report here an extension of this



Fig. 1 Some Spirocyclic Natural Products.

concept, suitable for access to enantiopure spirocyclic lactamlactam and lactam-lactone systems; some of this work has been reported in preliminary form³¹ and very similar synthetic work has recently been published.³² Various approaches for the rapid asymmetric synthesis of spiro-2-pyrrolidin-5-ones have recently been reported,³³⁻³⁵ including by α -diazocarbonyl insertion chemistry,³⁶ Pd-catalyzed intramolecular amidations³⁷ and iodocarbocyclisations,³⁸ and lactone-lactam spirocyclic systems as mimics of lycoperdic acid have recently been reported.³⁹

We used as our starting point the bicyclic lactam system 2b, readily prepared from ethyl pyroglutamate 1a in three steps using literature methodology;40 for the acylation of lactam 2a to ethoxycarbonyl derivative 2b, it was found that ethyl chloroformate gave a substantially better yield (90%) than the literature approach using diethyl carbonate.⁴⁰ Alkylation of ester 2b with several bromonitriles/NaH in THF gave products 3a and 4a,b in good yields of 65, 68, and 42%, respectively (Scheme 1). Lactam 3a was obtained as a 2:1 diastereomeric but inseparable mixture, for which the stereochemistry of the major isomer was assigned as 7R, arising by exo- attack of the electrophile; this assignment was made by comparison of the chemical shift difference of the C(6)H protons $(\Delta \delta 0.1)$ (bicyclic lactams formed *via exo*-alkylation reliably have a small chemical shift difference for the C(6)H protons of $\Delta\delta$ 0.2, but those from endo-alkylation show a larger difference of $\Delta \delta$ 0.8).^{40,41} This structural assignment was confirmed by selective crystallisation and single crystal X-ray analysis for one of the diastereomers (Fig. 2).^{\dagger 42} Lactam **4a** was similarly assigned the 7*R*

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stereochemistry ($\Delta\delta$ 0.35), but was epimeric at C-1' as shown by the doubling of the signals of C(1')Me in the ¹³C NMR spectrum; this analysis was confirmed by NOE results (Fig. 3). Lactam **4b** was obtained as a single crystalline diastereomer, assigned as the *exo-* stereochemistry from the chemical shift difference of the C(6)H protons ($\Delta\delta$ 0.17), and this was confirmed by X-ray analysis allowing unequivocal assignment as (7*R*,1'*S*) (Fig. 2).†⁴² The C(7)alkylation reactions of the enolate derived from **2b** with substituted halonitriles therefore give high levels of *exo-* diastereoselectivity, and in the case of the phenyl substituted product **4b**, also excellent C(1') diastereoselectivity.

Reduction of nitriles **3a**, **4a** and **4b** (NaBH₄, CoCl₂·H₂O or NaBH₄, NiCl₂·H₂O)²⁹ followed by *in situ* cyclisation of the



Fig. 2 X-ray structures for 3a and 4b (ellipsoids at 50% probability level).





EtO₂

 \cap



P٢

NO₂

5a

н

 O_2N

EtO₂C

 \cap

н

0



11b

Fig. 3 NOE Data for Selected Compounds.

resulting amine gave the desired spirolactam systems **6a,b** (25% combined yield) and **7a–c** (10, 10 and 21%, respectively). The low yields are likely to be due to the formation of the strained spirobislactam system, and their very high polarity and water solubility (typically, a MeOH/EtOAc mixture is required for efficient elution in column chromatography) further complicated isolation; their polar nature was confirmed by estimation of their cLogP and PSA values using Marvin (Table 1).⁴³ Interestingly, despite their expected well-defined conformation, stereochemical assignment was unexpectedly difficult. Spirocyclisation was accompanied by large changes in the chemical shifts of the C(6)H protons relative

to the starting lactams; for example, for **6a**, whose stereochemistry was established by NOE (Fig. 3), the C(6)H protons exhibited $\Delta\delta$ 0.9, but for **6b**, the value was $\Delta\delta$ 0.5. For lactams **7a**,**b**, the isomers were also obtained as a diastereomeric mixture (ratio **7a** : **7b** = 1 : 1) with C(6)H proton chemical shift difference values of $\Delta\delta$ 0.1 and $\Delta\delta$ 0.6, respectively; 2D-NOESY analysis convincingly indicated the proximal relationship of C(1')Me, C(6)H_{exo} and C(5)H of lactam **7b**, with the C(1)Me substituent located under the pyroglutaminyl ring. The stereochemistry of **7c** follows from the unequivocal assignment of precursor **4b**; the C(1')S configuration in which the phenyl substituent is located under the pyroglutaminyl

Compound	cLogP ^a	PSA ^a	MSA ^a	%PSAª
2b	1.77	55.8	402.3	13.9
6a	0.77	58.6	381.0	15.4
6b	0.77	58.6	381.0	15.4
7a	1.13	58.6	409.9	14.3
7b	1.13	58.6	409.9	14.3
7c	2.27	58.6	484.1	12.1
8c	-0.01	84.5	399.9	21.1
9	1.59	84.7	442.0	19.2
10	-1.15	104.5	325.5	32.1
11a	2.87	58.6	455.1	12.9
11b	2.87	58.6	455.1	12.9
15a	3.28	55.8	480.8	11.6
15b	0.87	76.1	415.9	18.3
16a	2.68	55.8	430.0	13.0
16b	2.68	55.8	430.0	13.0
19	0.51	102.1	501.1	20.4

^{*a*} Partition coefficient (LogP), polar surface area (PSA), molecular surface area (Van der Waals MSA), %polar surface area (PSA/MSA×100%) were all calculated using Marvin (www.chemaxon.org)⁴³

ring was further confirmed by NOE analysis (Fig. 3) and by an anisotropic shielding effect from the nearby aromatic ring on C(6)H_{exo} (δ 1.9 as opposed to δ 2.8 in the unsubstituted lactam **6a,b**). Deprotection of **7c** efficiently yielded the pyroglutaminyl system **8a**; this compound is very polar (DMSO soluble only) and was not purified, but was readily converted to the corresponding ester **8c** in a two-step oxidation–esterification process in 15% overall yield.

Examination of a C(7) aminoaryl substituted system was also of interest; although we have previously reported that related systems are readily available by an unusual ligand coupling using organolead triacetates.41,44-49 In this case the required aminoaryl system 5a was most readily accessible by nucleophilic aromatic substitution; similar arylation in related systems has been reported.^{32,50,51} Thus, reaction of lactam 2b with sodium hydride followed by 2,4-dinitro-1-fluorobenzene gave the exoarylated product 5a in 74% yield, whose stereochemistry was readily established by NOE analysis (Fig. 3); in this case, an observed $\Delta\delta H(6)$ difference of 0.9 ppm was in contravention of the general trend described above, and we attribute this to an anisotropic effect from the adjacent aromatic ring. An attempted reduction of lactam 5a using 10% Pd/C and ammonium formate gave only the partially reduced product 5b in poor yield (23%), and longer reaction times or additional equivalents of ammonium formate gave complex reaction mixtures.⁵² Application however of iron powder/ammonium chloride⁵³ reliably gave the required reduction, and this was followed by spontaneous lactamisation to give the desired product in 15% yield; the stereochemical outcome was again demonstrated by NOE analysis (Fig. 3), and in this case a small $\Delta \delta H(6)$ difference of 0.17 ppm was also observed. Deprotection (TFA) gave alcohol 10 in 60% yield. A similar process has been recently reported by Sen, who developed this sequence for several bicyclic lactam series, and who identified better conditions for the reduction and achieved better overall yields by using the less bulky methyl ester system.³²

Given the facility of the ring closures with appropriately positioned amine groups, it was of interest to examine whether hydroxyl-mediated ring closure, to give the corresponding spirolactone-lactam system, might also be feasible. Alkylation of lactam 2b gave lactams 12a,b (59 and 72% respectively), each as inseparable mixtures of diastereomers (Scheme 2). Reduction of lactam 12a (NaBH₄) was followed by spontaneous cyclisation to give the lactone-lactam system 13a, confirmed by the presence of carbonyl absorptions at 1752 and 1708 cm⁻¹, but in low yield (25%) and as a mixture of three stereoisomers. The major one was obtained in pure form without difficulty, but the two minor ones were more difficult to isolate: the stereochemistry of two of them was easily established by NOE analysis (Fig. 3) as being C(7)R, C(5')R and C(7)S, C(5')S and the third was assigned as possessing the C(7)R, C(5')S stereochemistry, on the basis that it had a very similar ¹H NMR spectrum to the C(7)R, C(5')Risomer but a large difference in the chemical shift value for the C(5')H. Dihydroxylation of allyl derivative 12b using potassium osmate/N-methylmorpholine oxide was also followed by spontaneous cyclisation, giving the lactone-lactam system 13b in low yield (15%), for which careful chromatography allowed partial separation (Scheme 2); NOE analysis on these purified materials indicated the C(7)R, C(5')R and C(7)S, C(5')S stereochemistry (Fig. 3). Alternatively, ester hydrolysis (KOH, MeOH, H₂O) of lactam 12b followed by immediate iodolactonisation (I_2, KI) gave the tricycles 14a and 14b in 20% yield, again as a partially separable mixture. Assignment of stereochemistry was achieved by NOE analysis (Fig. 3), and found to be C(7)R, C(5')R and C(7)S, C(5')S; it would appear therefore that the C(7) stereochemistry dictates the cyclisation outcome at the C(5') centre. In all of this work, it was again observed that differences of the ¹H chemical shift of the H(6) proton allow assignment of the stereochemistry at C(7); thus, a small difference in the $\delta H(6)$ proton (typically 0.1–0.4 ppm) occurs for C(7)R, thereby placing the lactone carbonyl on the *endo* face, and a large difference (typically 0.9 ppm) indicates the C(7)S stereochemistry.



An attempt to develop this concept with appropriately functionalised benzyl systems met with varied success (Scheme 1); alkylation of lactam **2b** with NaH/2-nitrobenzyl bromide or 2-(*O*-TBDMS)benzyl bromide⁵⁴ gave the corresponding products **3b,c** in good yield (36 and 47% respectively). *Exo*-alkylation in both cases was confirmed by NOE analysis (Fig. 3), and by the fact that the chemical shift difference was small (0.2 ppm). Deprotection (TBAF) gave the expected alcohol **3d**, but without



Fig. 4 Formation of Spirolactone-lactam systems.

any lactonisation being observed; conditions to promote this cyclisation could not be identified. On the other hand, reduction of **3b** (Fe, NH₄Cl, MeOH, H₂O, reflux) gave the expected spirocyclic bislactam product, but as a mixture of two diastereomers **11a**,**b** in 36% yield. The stereochemistry of each isomer was established by NOE analysis, and confirmed by the existence of a small difference of the ¹H chemical shift of the H(6) proton of the C(7)*R* isomer **11b** (0.44 ppm) but a larger difference in C(7)*S* isomer **11a** (0.94 ppm).

We further examined the application of this process to simple pyroglutamates; spontaneous lactamisation leading to spirocyclic products has been observed previously in simple lactam systems.⁵¹ Ethyl pyroglutamate 1a (Scheme 3) was BOC-protected to give lactam 15a according to the literature precedent^{55,56} and the C-4 ethoxycarbonyl residue introduced by treatment first with LiHMDS followed by ethyl chloroformate, giving the product 15b in good yield (65%) as a mixture of two diastereomers. Arylation using a mixture of triethylamine/DMF with 2,4-dinitro-1-fluorobenzene gave the desired C-4 aryl product 16a in excellent yield (79%) as a single diastereomer, whose stereochemistry was again established by NOE analysis (Fig. 3), arising by attack of the electrophile from the least hindered face.57-61 Application of the iron-ammonium chloride conditions gave product 16b in 31% yield, arising by reduction of both nitro groups, but cyclisation to give lactam 17 was neither spontaneous nor could be induced. An attempt to emulate the approach of Bella^{50,51} by removal of the nitrogen protecting group prior to reduction and ring closure likewise did not lead to product formation; we assume that the slightly greater degree of freedom accessible in this system, compared to the bicyclic lactam series, renders ring closure reaction more difficult. In order to investigate this system further, we examined the reaction of the enolate of **15b** with bromoacetonitrile; this reaction gave two separable diastereomeric products **18a,b** as a 2 : 3 mixture in 92% yield, whose stereochemistry was again established by NOE analysis (Fig. 3). Treatment of the diastereomeric mixture of **18a,b** with NaBH₄/CoCl₂ gave the desired lactam **19**, but in very poor yield (5%), along with some uncyclised amine **20**. The C(4) stereochemistry of these compounds was not determined due to the low yield.

Modelling of representative structures was instructive using Marvin;⁴³ the near orthogonal relationship of the spirosystem carbonyl groups is illustrated for the energy minimised structures of **7c**, **8c** and **9** (Fig. 4) and also noteworthy is the parallel relationship of the aromatic rings in **7c** compared to their orthogonal relationship in **9**. More detailed molecular modelling of the elaborated forms of both the bicyclic and pyroglutaminyl templates was conducted in an effort to analyse their different conformational constraints. The preferred (lowest energy) conformations of these structures were calculated using the Hartree–Fock method (3-21G basis set)⁶² after the initial starting conformation was obtained from a sequential conformational search using the GAMESS interface of the Chem3D Ultra v.10 software. After obtaining the lowest energy conformations of the compounds, the magnitude of

the dihedral angle between the nitrogen substituent and the C2 (or C5) hydrogen was determined; the bicyclic template exhibits dihedral angles of 93.0° and 92.4° for **5c** and **9**, respectively, whilst the BOC pyroglutamate template gives 45.7°, 65.1°, 46.4°, and 47.6° dihedral angles for **16b**, **17**, **18b** and **19** respectively. This implies that systems derived from the bicyclic template **2a** exhibit *axial* hydrogen and *equatorial* alkyl at its C-5 position whilst those from the BOC template **15b** exhibit *equatorial* hydrogen and *axial* ester at the equivalent C-2 position (Fig. 5), consistent with minimisation of A_{1,3} strain⁶³ in the conformationally more mobile pyroglutamate system appears to suffer from destabilising 1,3-diaxial and/or dipole–dipole interactions between the C-2/C-4 substituents, and is therefore not preferred.



Fig. 5 Minimised Conformations for Selected Compounds.

Cheminformatic analysis of these compounds was of interest, and cLogP, PSA, and MSA values were calculated using Marvin (Table 1).43 The polar surface area parameter (PSA), which correlates the presence of polar atoms with membrane permeability and therefore gives an indication of drug transport properties,⁶⁴ has been reported to have an optimal value of $70 < PSA < 120 \text{ Å}^2$ for a non-CNS orally absorbable drug.65 Moreover, recently reported ADMET rules of thumb⁶⁶ indicate that neutral molecules with MW <400 and clogP <4 are likely to exhibit average values for a variety of indicators (including solubility, bioavailability, plasma protein binding, P-gp efflux and in vivo clearance), and therefore provide good library start points. It has also recently been established using a Random Forest statistical analysis that lipophilicity strongly correlates with adverse toxicity in vivo, with a significantly higher probability of an adverse indication if cLogP and PAS are less than 3 and 70 Å² respectively, than if they are greater than 3 and in the range 100–130 respectively.⁶⁷ For the spirocyclic systems reported here, it was found that cLogP values were typically in the range 0.7–2.9, but deprotection significantly reduced these values (compounds 8c and 10); this is to be expected after the removal of hydrophobic protecting group residues and is consistent with their observed low solubility in organic solvents. Polar Surface Area (PSA), with some exceptions, is generally less than 60 Å, and expressed as a percentage of the Molecular Surface Area (MSA), is typically in the range 12–18%. However, some compounds, notably the deprotected 8c, 9, 10 and Spiro-19, are noticeably higher both in PSA and %PSA, again as might be expected by the absence of hydrophobic residues. The spirocyclic compounds reported herein therefore generally fall within the rule-of-thumb criteria outlined above, and moreover their chemical functionality and well-defined three-dimensional conformation places them in unusual chemical space ideally suited for optimisation of drug-like properties.

Conclusion

We have demonstrated that spirocyclic lactams are readily available from pyroglutamate templates, and their cheminformatic parameters are within desired norms for lead-like structures. Their combination of chemical functionality, stereochemical conformation and cheminformatic parameters offers a unique system of potential value for the design of novel architectures suitable for application in the drug discovery process.

Experimental

(2*S*)-2-Ethoxycarbonyl-5-oxo-pyrrolidine **1a** was prepared from pyroglutamic acid, (2*S*)-2-hydroxymethyl-5-oxo-pyrrolidine **1b**, (2*R*,5*S*)-8-oxo-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0] octane **2a** and (2*R*,5*S*,7*R*,*S*)-1-aza-7-allyl-7-(ethoxycarbonyl)-3-oxo-8oxa-2-phenylbicyclo[3.3.0]-octane **12b** were all prepared using literature methodology.^{30,40,41} Bromophenylacetonitrile was prepared according to Molina.⁶⁸ (2-(Bromomethyl)phenoxy)(*tert*butyl)dimethylsilane was prepared from *tert*-butyldimethyl(*o*tolyloxy)silane⁶⁹ using the method of Stern and Swenton.⁷⁰

(2*R*,5*R*)-1-Aza-7-ethyloxycarbonyl-3-oxa-8-oxo-2-phenylbicyclo[3.3.0]octane 2b

To a stirred solution of lactam **2a** (4.00 g, 19.7 mmol) in THF (75 ml) was added sodium hydride ((oil dispersion 60%) 0.55 g, 13.7 mmol) at RT and the mixture was left to stir for 1 h. Ethyl chloroformate (2.7 ml, 27.9 mmol) was added and the mixture was refluxed for 16 h. The reaction was quenched with glacial acetic acid (6 ml), water (30 ml) was added to dissolve the gelatinous precipitate formed and the mixture was extracted with EtOAc (4 \times 20 ml). The organics were shaken with brine, dried over MgSO₄ and concentrated under vacuum and the residue purified by flash column chromatography to give **2b** as a yellow oil, which slowly crystallised to give a yellow solid consisting of a 1:1 mixture of 7*S* and 7*R* diastereoisomers (4.86 g, 90%), with data as previously reported.^{30,40}

(2*R*,5*S*,7*R*) and (2*R*,5*S*,7*S*)-1-Aza-7-(cyanomethyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenylbicyclo-[3.3.0]octane 3a

To a stirred suspension of pre-washed NaH (0.046 g, 1.9 mmol) in dry THF (5 ml) at 0 °C under nitrogen atmosphere was added a solution of lactam 2b (0.315 g, 1.1 mmol) in THF (10 ml), and the mixture was stirred at RT for 20 min. A solution of bromoacetonitrile (0.19 g, 1.6 mmol) in THF (5 ml) was added and the mixture stirred at RT 16 h. The reaction was quenched by pouring the mixture into NH₄Cl (aq.)/EtOAc (25 ml, 1:1) and the aqueous portion was extracted with EtOAc (2×10 ml). The organic extracts were combined, washed with water (20 ml) and sat. brine (20 ml), dried over MgSO₄ and the solvent removed in vacuo to give an oil, which was purified by flash column chromatography [(40-60) Petrol/EtOAc, 7:3] to give the product 3a (0.33 g, 65%) as a solid consisting of an inseparable 2:1 mixture of diastereomers. $R_f = 0.16 [(40-60) \text{ Petrol/EtOAc}, 7:3];$ v_{max} /cm⁻¹ (thin film) 3089 (m), 3064 (m), 3027 (m), 2958 (s), 2940 (s), 2901 (s), 2249 (m), 1745 (s), 1704 (s), 1586 (m), 1546 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.15–1.40 (6H, m, CH₃CH₂O, (A+B)), 2.05 (1H, dd, J 13.5 and 7.2, H(6)(B)), 2.45 (1H, dd, J 20.8 and

Downloaded by Universitaire d'Angers on 12 February 2012 Published on 11 July 2011 on http://pubs.rsc.org | doi:10.1039/C10B05708A 14.5, H(6)(A)), 2.55 (1H, dd, *J* 14.3 and 4.3, H(6)(A)), 2.80–3.15 (5H, m, H(6)(B)+C<u>H</u>₂CN(A+B)), 3.55–3.75 (2H, m, H(4)(A+B)), 4.1–4.4 (8H, m, CH₃C<u>H</u>₂O(A+B)+H(5)(A+B)+H(4)(A+B)); 6.25 (2H, s, H(2)(A+B)), 7.20–7.50 (10H, m, ArH(A+B)); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 13.9 (<u>C</u>H₃CH₂O(A+B)), 22.8, 23.5 (<u>C</u>H₂CN(A+B)), 31.7 (C(6)(A)), 35.2 (C(6)(B)), 56.4, 56.6 (C(5)(A+B)), 63.0, 63.0 (CH₃<u>C</u>H₂O(A+B)), 71.4 (C(4)(A)), 71.9 (C(4)(B)), 87.0, 87.3 (C(2)(A+B)), 116.5, 116.7 (CN(A+B)), 125.9, 126.0, 128.3, 128.4, 128.5, 128.6, 128.8, 128.9 (ArCH(A+B)), 137.4, 137.7 (ArC(A+B)), 168.3, 168.8, 169.8, 172.4 (C=O(A+B)); *m*/*z* (ESI⁺) 337 (M+Na⁺,100%), 315 (M+H⁺, 62%); **HRMS**: [M+H⁺] Calculated for C₁₇H₁₉O₄N₂ 315.1346, Found: 315.1345.

(2*R*,5*S*,7*S*)-1-Aza-7-(2-nitrobenzyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenylbicyclo[3.3.0] octane 3b

To a stirred suspension of pre-washed NaH (0.12 g, 5.0 mmol) in dry THF (15 ml) at 0 °C under a nitrogen atmosphere was added a solution of lactam 2b (0.43 g, 1.6 mmol) in THF (15 ml), and the mixture was stirred at RT for 20 min. A solution of 1-(bromomethyl)-2-nitrobenzene (0.50 g, 2.3 mmol) in THF (15 ml) was added and the mixture stirred at RT for 16 h. The reaction was quenched by pouring the mixture into $NH_4Cl(aq.)/EtOAc(1:1)$ (100 ml) and the aqueous portion was extracted with EtOAc ($2 \times$ 50 ml). The organic extracts were combined, washed with water (50 ml) and sat. brine (50 ml), dried over MgSO₄ and the solvent removed in vacuo to give the product 3b as a yellow solid (0.23 g, 36%). $R_f = 0.17$ [EtOAc/(40-60) Petrol, 1:4], $[a]_p^{26}$ +46 (c = 3.9 in MeOH); m.p. 79–80 °C; v_{max}/cm⁻¹ (thin film) 3066 (m), 2983 (m), 2874 (m), 1743 (s), 1707 (bs), 1609 (m), 1577 (m), 1527 (s), 1353 (s); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.33 (3H, t, CH₃CH₂O), 2.35 (1H, dd, J 14.3 and 7.6, H(6)), 2.55 (1H, dd, J 14.3 and 5.0, H(6)), 3.43-3.63 (3H, m, H(4)+H(5)+CHHPh), 3.85 (1H, d, J 14.3, CHHPh), 4.10-4.40 (3H, m, H(4)+CH₃CH₂O), 6.27 (1H, s, H(2)), 7.20-7.45 (8H, m, ArH), 7.80–7.90 (1H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz), 14.0 (CH₃CH₂O), 31.3 (C(6)), 34.5 (CH₂Ph), 56.2 (C(5)), 61.5 (C(7)), 62.4 (CH₃CH₂O), 71.8 (C(4)), 87.1 (C(2)), 124.6, 125.9, 126.0, 128.2, 128.4, 128.7, 132.6 (ArCH), 133.1, 138.1 (ArC), 170.7, 174.0 (C=O); *m*/*z* (ESI⁺) 469 (M+MeCN+NH₄⁺, 100%); HRMS $[M+H^+]$ Calculated for $C_{22}H_{23}N_2O_6$ 411.1556, Found: 411.1566.

(2*R*,5*S*,7*S*)-1-Aza-7-((2-*t*-butyldimethylsilyloxy)benzyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenylbicyclo[3.3.0] octane 3c

To a stirred suspension of pre-washed NaH (0.038 g, 1.6 mmol) in dry THF (5 ml) at 0 °C under a nitrogen atmosphere was added a solution of lactam **2b** (0.36 g, 1.3 mmol) in THF (10 ml), and the mixture was stirred at RT for 20 min. A solution of 2-(bromomethyl)phenoxy)(*tert*-butyl)dimethylsilane (0.70 g, 2.3 mmol) in THF (5 ml) was added and the mixture refluxed for 2 h and then stirred at RT for 16 h. The reaction was quenched by pouring into NH₄Cl (aq.)/EtOAc (60 ml, 1:1) and the aqueous portion extracted with EtOAc (2 × 60 ml). The organic extracts were combined, washed with water (60 ml) and sat. brine (60 ml), dried over MgSO₄ and the solvent removed *in vacuo*, and the resulting oil was purified by flash column chromatography [(40–60) Petrol/EtOAc, 85:15] to give the product **3c** as a single diastereomer (309 mg, 47%). **R**_f = 0.19 [EtOAc/(40–60) Petrol, 15:85]; [**a**]²ⁿ_D -38 (*c* = 3.6 in MeOH); **v**_{max}/cm⁻¹ (thin film) 3064

(m), 3034 (m), 2956 (m), 2932 (m), 2886 (m), 2859 (m), 1743 (s), 1709 (m), 1600 (w), 1581 (w), 1492 (s), 1256 (s); $\delta_{\rm H}$ (400 MHz, CDCl₃) 0.20 (3H, s, MeSi), 0.25 (3H, s, MeSi), 1.00 (9H, s, ^tBuSi), 1.33 (3H, t, J 7.1, CH₃CH₂O), 2.37 (1H, dd, J 13.7 and 5.2, H(6)endo), 2.57 (1H, dd, J 13.7 and 8.0, H(6)exo), 3.20 (1H, d, J 13.8, CHHPh), 3.27-3.35 (1H, m, H(5)), 3.50-3.60 (2H, m, H(4)endo+CHHPh), 4.05 (1H, t, J 7.0, H(4)exo), 4.30 (2H, q, J 7.1, CH₃CH₂O), 6.27 (1H, s, H(2)), 6.73 (1H, t, J 7.5, ArH), 6.80 (1H, d, J 8.1, ArH), 7.05–7.13 (2H, m, ArH), 7.23–7.30 (2H, m, ArH), 7.30–7.35 (3H, m, ArH); $\delta_{\rm C}$ (100.6 MHz, CDCl₃) –4.4, -3.9 (Me₂Si), 18.2 (SiC(CH₃)₃), 25.8 (SiC(CH₃)₃), 30.8 (C(6)), 32.8 (CH₂Ph), 56.4 (C(5)), 61.9 (CH₃CH₂O), 71.9 (C(4)), 87.0 (C(2)), 118.8, 121.4, 128.1, 128.5, 132.1 (ArCH), 126.1, 128.2 (ArCH), 126.7, 132.1, 154.1 (ArC), 171.5, 174.6 (C=O); m/z (ESI^{+}) 554 (M+NH₄+MeCN⁺, 100%); HRMS [M+H⁺] Calculated for C₂₈H₃₈NO₅Si 496.2519, Found: 496.2520.

(2R,5S,7S)-1-Aza-7-(2-hydroxybenzyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenylbicyclo[3.3.0] octane 3d

The substrate 3c (0.099 g, 0.20 mmol) was dissolved in THF (6 ml) and TBAF (0.6 ml of a 1 M solution in THF) was added and the solution was allowed to stir for 24 h under nitrogen. The reaction mixture was washed with sat. NH₄Cl (aq.) (3 ml), the organic layer separated extracted with ether (3 ml), the organic extracts combined and dried over MgSO4 and the solvent removed in vacuo. Purification was by flash column chromatography with an eluent of [EtOAc/(40-60) Petrol, 1:3] to furnish the product 3d as a white solid (8 mg, 11%). $R_f = 0.16$ [EtOAc/(40–60) Petrol, 1:3]; $[a]_D^{23} + 2.4$ (*c* = 0.13 in DCM); **m.p.** 165–167 °C; v_{max}/cm^{-1} (thin film) 3328 (bm), 2982 (w), 1739 (s), 1681 (s), 1595 (m), 1507 (w), 1456 (m), 1367 (m), 1261 (s), 1222 (s); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.33 (3H, t, J 7.1, CH₃CH₂O), 2.47 (1H, dd, J 13.7 and 5.7, H(6)endo), 2.55 (1H, dd, J 13.7 and 7.3, H(6)exo), 3.35 (2H, dd, J 21.9 and 4.3, CH₂Ph), 3.63 (1H, t, J 8.0, H(4)endo), 3.67-3.85 (1H, m, H(5)), 4.20 (1H, dd, J 7.5 and 5.9, H(4)exo), 4.27 (2H, q, CH₃CH₂O, J 7.1), 6.30 (1H, s, H(2)), 6.60 (1H, bs, OH), 6.73–6.85 (2H, m, ArH), 7.05–7.20 (2H, m, ArH), 7.23–7.43 (3H, m, ArH); δ_c (CDCl₃, 100.6 MHz) 14.0 (CH₃CH₂O), 32.0 (C(6)), 33.7 (CH₂Ph), 56.4 (C(5)), 61.9 (C(7)), 62.6 (CH₃CH₂O), 71.8 (C(4)), 87.0 (C(2)), 117.2, 120.6, 125.9, 128.4, 128.7, 128.8, 132.4 (ArCH), 122.3, 138.0, 154.8 (ArC), 172.2, 175.0 (C=O); m/z (ESI⁺) 440 (M+NH₄+MeCN⁺, 100%); HRMS [M+Na⁺] Calculated for C₂₂H₂₃NO₅Na 404.1475, Found: 404.1468.

Alkylations-general method

To a stirred suspension of pre-washed NaH (0.30 g, 1.7 equiv) in dry THF (10 ml) at 0 °C under a nitrogen atmosphere was added a solution of lactam **2b** (2.01 g, 7.30 mmol) in THF (15 ml), and the mixture was stirred at RT for 20 min. A solution of the electrophile (1.6 equiv.) in THF (10 ml) was added and the mixture stirred either at RT or reflux for 16 h. The reaction was quenched by pouring the mixture into NH₄Cl (aq.)/EtOAc (175 ml, 1 : 1) and the aqueous portion was extracted with EtOAc (2×70 ml). The organic extracts were combined, washed with water (50 ml) and sat. brine (50 ml), dried over MgSO₄ and the solvent removed *in vacuo* to give an oil, which was purified by flash column chromatography.

(2*R*,5*S*,7*R*,1'*R*) and (2*R*,5*S*,7*R*,1'*S*)-1-Aza-7-(1'-cyano-1'-ethyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenyl-bicyclo[3.3.0]octane 4a

Solid; (1.63 g, 68% as inseparable diastereomers); $R_{\rm r} = 0.3$ [(40–60) Petrol/EtOAc, 7:3]; $v_{\rm max}/{\rm cm}^{-1}$ (Nujol) 2921 (s), 2842 (s), 2237 (w), 1724 (m), 1697 (m), 1500 (w), 1454 (m), 1329 (m), 1296 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.30 (3H, t, *J* 7.1, CH₃CH₂O), 1.40 (3H, d, *J* 7.1, MeCHCN), 2.45 (1H, dd, *J* 14.5 and 8.0, H(6)*exo*), 2.7 (1H, dd, *J* 14.4 and 3.5, H(6)*endo*), 3.50–3.80 (2H, m, H(4)*endo*+MeCHCN), 4.10–4.40 (4H, m, CH₃CH₂O+H(4)*exo*+H(5)), 6.3 (1H, s, H(2)), 7.20–7.50 (5H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 50.3 MHz) 14.4, 14.8 (MeCHCN+CH₃CH₂O), 28.8 (C(6)), 31.1 (CHCN), 56.8 (C(5)), 62.6 (C(7)) 63.4 (CH₃CH₂O), 71.6 (C(4)), 88.2 (C(2)), 120.9 (CN), 126.2, 129.0, 129.3 (ArCH), 138.1 (ArC), 168.4, 172.6 (C=O); *m*/*z* (ESI⁺) 351 (M+Na⁺, 100%); **HRMS** [M+H⁺] Calculated for C₁₈H₂₁O₄N₂ 329.1501, Found 329.1491.

(2*R*,5*S*,7*R*,1'*S*)-1-Aza-7-(1'-cyano-1'-phenylmethyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenylbicyclo[3.3.0]octane 4b

Solid; (1.19 g, 42%); $R_{\rm f} = 0.2$ [(40–60) Petrol/EtOAc, 3 : 1]; $[a_{123}^{23}$ –11.4 (c = 0.18 in CHCl₃); m.p. 125–126 °C; $v_{\rm max}/\rm cm^{-1}$ (thin film) 3064–2874 (bs), 2245 (m), 1959 (m), 1891 (m), 1811 (m), 1760 (s), 1708 (s), 1602 (m), 1586 (m), 1586 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.37 (3H, t, *J* 7.2, CH₃CH₂O), 2.63 (1H, dd, *J* 14.5 and 8.0, H(6)*exo*), 2.8 (1H,dd, *J* 14.6 and 5.3, H(6)*endo*), 3.1–3.3 (1H, m, H(5)), 3.53 (1H, t, *J* 8.4, H(4)*exo*), 4.17 (1H, t, *J* 7.8, H(4)*endo*), 4.37 (2H, q, *J* 7.2, CH₃CH₂O), 4.85 (1H, s, PhCHCN), 6.1 (1H, s, H(2)), 6.8–7.7 (10H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 14.0 (CH₃CH₂O), 28.8 (C(6)), 40.9 (CHCN), 56.2 (C(5)), 63.4 (CH₃CH₂O), 65.4 (C(7)), 71.7 (C(4)), 86.8 (C(2)), 118.7 (CN), 125.7, 126.0, 126.1, 128.2, 128.5, 128.6, 128.7, 128.9, 129.1, 130.0 (ArCH) 137.2 (ArC), 168.6, 169.7 (C=O); *m*/*z* (ESI⁺) 412 (M+Na⁺,100%), 391 (M+H⁺, 18%); HRMS [M+H⁺] Calculated for C₂₃H₂₃O₄N₂ 391.1658, Found: 391.1650.

(2*R*,5*S*,7*R*)-1-Aza-7-(2,4-dinitrophenyl)-7-(ethoxycarbonyl)-3oxo-8-oxa-2-phenylbicyclo-[3.3.0] octane 5a

To a stirred suspension of pre-washed NaH (0.032 g, 0.22 mmol) in dry THF (5 ml) at 0 °C under a nitrogen atmosphere was added a solution of lactam 2b (0.18 g, 0.60 mmol) in THF (5 ml), and the mixture was stirred at RT for 20 min. A solution of 2,4-dinitro-1fluorobenzene (0.1 ml, 0.8 mmol) in THF (5 ml) was added and the mixture stirred initially at RT for 1 h followed by gentle reflux for 24 h. The reaction was quenched by pouring the mixture into NH₄Cl (aq.)/EtOAc (1:1) (10 ml) and the aqueous portion extracted with EtOAc (3×10 ml). The organic extracts were combined, washed with water (10 ml) and brine (10 ml), dried over MgSO₄ and the solvent removed in vacuo to give an oil, which was purified by flash column chromatography [(40/60) Petrol/EtOAc, 17:1] to give the product **5a** as a yellow solid as a single diastereomer (1.0 g, 74%). $R_{\rm f} = 0.14$ [EtOAc/(40–60) Petrol, 15:85]; $[a]_{\rm D}^{22}$ +7 (*c* = 0.13 in DCM); **m.p.** 208–210 °C; v_{max}/cm^{-1} (thin film) 2945 (m), 2254 (w), 1740 (s), 1704 (s), 1609 (m), 1542 (m), 1350 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.23 (3H, t, J 7.1, CH₃CH₂O), 2.57 (1H, dd, J 14.7 and 7.9, H(6)exo), 3.47 (1H, dd, J 14.7 and 7.8, H(6)endo), 3.90 (1H, t, J 8.3, H(4)endo), 4.03-4.15 (1H, m, H(5)), 4.23 (2H, q, J 7.1, CH₃CH₂O), 4.43 (1H, dd, J 7.7 and 5.8, H(4)exo), 6.43

(1H, s, H(2)), 7.37–7.60 (5H, m, ArH), 7.73 (1H, d, *J* 8.7, ArH), 8.45 (1H, dd, *J* 8.6 and 2.4, ArH), 8.97 (1H, d, *J* 2.4, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 13.8 (<u>C</u>H₃CH₂O), 36.3 (C(6)), 56.1 (C(5)), 63.4 (CH₃<u>C</u>H₂O), 66.6 (C(7)), 71.7 (C(4)), 87.9 (C(2)), 121.5, 125.9, 128.7 (ArCH), 128.1 (ArC), 129.2 (ArC), 131.4 (ArC), 137.9, 140.9, 147.3, 148.1 (ArC), 167.8, 172.1 ((C=O); **HRMS** [M+H⁺] Calculated for C₂₁H₂₀N₃O₈ Found 442.1251, Calculated: 442.1250.

(2*R*,5*S*,7*R*)-1-Aza-7-(4-amino-2-nitrophenyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenylbicyclo[3.3.0]octane 5b

To a stirred suspension of 5a (0.30 g, 0.68 mmol) and 10% Pd/C (0.03 g) in methanol (2 ml) was added ammonium formate (0.21 g, 3.4 mmol) in a single portion and the mixture was refluxed under nitrogen for 72 h. The mixture was filtered and the solvent removed in vacuo. The resulting oil was dissolved in DCM (10 ml) and washed with water $(2 \times 5 \text{ ml})$. The organics were washed with brine $(2 \times 5 \text{ ml})$, dried over MgSO₄ and concentrated in vacuo. The crude product was purified by flash chromatography [Petrol/EtOAc (2:1)] yielding compound **5b** (0.065 g, 23%). *R*_f 0.25 [EtOAc/(40/60) Petrol, 1:2]; $[a]_{p}^{22}$ +134 (c = 0.25 in CHCl₃); v_{max} /cm⁻¹ (thin film) 3363 (bm), 1726 (m), 1635 (s), 1511 (s), 1339 (s), 1167 (s); **δ**_H (400 MHz, CDCl₃) 1.22 (3H, t, J 7.1, CH₃CH₂O), 2.53 (1H, dd, J 14.3 and 7.7, H(6)exo), 3.38 (1H, dd, J 14.3 and 5.6, H(6)endo), 3.86 (1H, t, J 8.3, H(4)endo), 4.02-4.09 (1H, m, H(5)), 4.11 (2H, NH₂), 4.18–4.23 (2H, m, CH₃CH₂O), 4.33 (1H, dd, J 8.0 and 6.1, H(4)exo), 6.40 (1H, s, H(2)), 6.71 (1H, dd, J 8.5 and 2.7, ArH), 7.11 (1H, d, J 8.5, ArH), 7.36 (1H, d, J 2.7, ArH), 7.37-7.44 (3H, m, PhH), 7.49-7.53 (2H, m, PhH); $\delta_{\rm C}$ (100 MHz, CDCl₃) 13.8 (CH₃CH₂O), 37.3 (C6), 56.3 (C5), 62.6 (CH₃CH₂O), 66.43 (C7), 71.7 (C4), 87.6 (C2), 111.7 (ArC), 119.4 (ArC), 123, 138.2, 147.3, 148.0 (ArC), 126.0, 128.6, 128.9 (ArC), 169.4, 173.6 (C=O); HRMS: [M+Na⁺.] Calculated for C₂₁H₂₁NNaO₆, 434.1323, Found: 434.1313.

(2R,5S,7R) and (2R,5S,7S)-Spiro[1-aza-8-oxo-2-phenyl-3-oxabicyclo[3.3.0]octane]-7,3'-[pyrrolidin-2'-one] 6a and 6b

To a solution of the nitrile **3a** (1.21 g, 3.80 mmol) in ethanol (150 ml) was added $CoCl_2 \cdot 6H_2O$ (1.77 g, 7.40 mmol) and the mixture was stirred for 5 min. NaBH₄ (1.19 g, 31.5 mmol) was then added portion-wise to the purple solution which was accompanied by effervescence. After stirring for 16 h at RT, the ethanol was removed *in vacuo* and NH₄OH (aq.) (45 ml, 0.1 M) and EtOAc (150 ml) were added to the black residue with stirring. After 30 min, the black residue was removed by filtration through Celite[®]. The organic phase was extracted with EtOAc (50 ml). The combined organic phase was dried over MgSO₄ and concentrated *in vacuo* to give the product as a dark oil. Purification by flash column chromatography [MeOH/EtOAc, 1:19] gave **6a** and **6b** (0.26 g, 25%) as a white solid as a mixture of partially separable diastereomers.

Data for 6a. $R_{\rm f} = 0.24$ [MeOH/EtOAc (1:19)]; $v_{\rm max}$ /cm⁻¹ (thin film) 3300 (bm), 2944 (m), 2885 (m), 2247 (m), 1702 (s), 1694 (m), 1377 (m), 1355 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 2.0–2.3 (2H, m, H(6)+H(4')), 2.5–2.8 (2H, m, H(6)+H(4')), 3.2–3.4 (1H, m, H(5')), 3.5–3.65 (1H, m, H(5')), 3.7–3.85 (1H, m, H(4)), 4.0–4.2 (1H, m, H(5)), 4.2–4.35 (1H, m, H(4)), 6.25 (1H, m, H(2)),

6.9 (1H, bs, NH), 7.2–7.6 (5H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 31.8 (C(4')), 33.9 (C(6)), 39.9 (C(5')), 56.4 (C(5)), 56.5 (C(7)) 71.6 (C(4)), 87.2 (C(2)), 125.9, 128.5, 128.6 (ArCH), 138.8 (ArC), 176.2, 177.0 (C=O); m/z (ESI⁺) 331 (M+MeCN+NH₄⁺, 100%); HRMS [M+MeCN+Na⁺]: Calculated for C₁₇H₁₉O₃N₃Na 336.1324, Found: 336.1332.

Data for 6b. $R_{\rm f} = 0.36$ [MeOH/EtOAc, 1:19]; $\nu_{\rm max}/\rm cm^{-1}$ (thin film) 3279 (bm), 2895 (m), 1706 (s), 1493 (m), 1452 (m), 1356 (m), 1274 (m), 1222 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.75–1.9 (1H, m, H(6)*endo*), 1.95–2.15 (1H, m, H(4')), 2.6–2.9 (2H, m, H(6)*exo* +H(4')), 3.2–3.4 (1H, m, H(5')), 3.5 (1H, t, *J* 7.9, H(4)*endo*), 3.55–3.7 (1H, m, H(5')), 4.15–4.25 (1H, m, H(4)*exo*), 4.3–4.55 (1H, m, H(5)), 6.3 (1H, s, H(2)), 6.5–6.9 (1H, bs, NH), 7.2–7.6 (5H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 21.1 (C(4')), 35.8 (C(6)), 40.0 (C(5')), 56.4 (C(5)), 57.5 (C(7)), 72.0 (C(4)), 87.0 (C(2)), 126.0, 126.1, 128.5, 128.7, 129.1 (ArCH), 137.8 (ArC) 174.9, 176.1 (C=O); *m/z* (ESI⁺) 297 (M+Na⁺, 100%); **HRMS** [M+H⁺]: Calculated for C₁₅H₁₇O₃N₂ 274.1317, Found: 274.1304.

Spirocyclisations: general method

To a solution of the nitriles **4a,b** (0.5 mmol, 1 equiv.) in ethanol (30 ml) was added $CoCl_2 \cdot 6H_2O$ or $NiCl_2 \cdot 6H_2O$ (1 mmol, 2.0 equiv.) and the mixture was stirred for was stirred for 5 min. NaBH₄ (4.8 mmol, 9.7 equiv.) was then added portion-wise to the purple solution which was accompanied by effervescence. After stirring for 16 h at RT, the ethanol was removed *in vacuo* and NH₄OH (aq.) (0.1 M, 10 ml) and EtOAc (20 ml) were added to the black residue with stirring. After 30 min of stirring, the black residue was removed by filtration through Celite[®]. The organic phase was washed with water (20 ml), and the aqueous phase extracted with EtOAc (3 × 10 ml). The combined organic phase was dried over MgSO₄ and concentrated *in vacuo* to give dark oil. After purification by flash column chromatography, all products were obtained as white solids.

(2*R*,5*S*,7*S*,4'*S*)-(4'-Methyl)-spiro[1-aza-8-oxo-2-phenyl-3-oxabicyclo[3.3.0]octane]-7,3'-[pyrrolidin-2'-one] 7a

A mixture of partially separable diastereomers was formed (ratio 1:1) (14.5 mg, 10%); $R_{\rm f} = 0.41$ [EtOAc/MeOH, 19:1]; $v_{\rm max}/\rm cm^{-1}$ (thin film) 3283 (bm), 2926 (m), 1693 (s), 1452 (m), 1381 (m), 1355 (m), 1266 (m), 1156 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.2 (3H, d, *J* 7.9, CH<u>Me</u>), 2.05 (1H, dd, *J* 7.9 and 4.4, H(6)*exo*), 2.30–2.60 (1H, m, H(4')), 2.67 (1H, dd, *J* 13.8 and 4.4, H(6)*endo*) 3.35 (2H, d, *J* 9.1, 2xH(5')), 3.55–3.75 (1H, m, H(4)*endo*), 4.00–4.15 (1H, m, H(5)), 4.20–4.35 (1H, m, H(4)*exo*), 5.85 (1H, bs, NH), 6.25 (1H, s, H(2)), 7.2–7.5 (5H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 13.0 (MeCH), 30.6 (C(6)), 41.2 (C(4')), 46.6 (C(5')), 56.7 (C(5)), 61.8 (C(7)), 72.0 (C(4)), 87.1 (C(2)), 125.9, 128.4, 128.5 (ArCH), 138.8 (ArC), 174.7, 175.9 (C==O); *m*/*z* (ESI+) 287 (M+H⁺, 100%), 304 (M+Na⁺, 65%); **HRMS**: [M+MeCN+Na⁺] Calculated for C₁₈H₂₁O₃N₃Na 350.1481, Found: 350.1488.

(2R,5S,7S,4'R)-(4'-Methyl)-spiro[1-aza-8-oxo-2-phenyl-3-oxabicyclo[3.3.0]octane]-7,3'-[pyrrolidin-2'-one] 7b

(14.5 mg, 10%); $R_f = 0.29$ [EtOAc/MeOH, 19:1]; v_{max} /cm⁻¹ (thin film) 3380 (bw), 3035 (m), 2968 (s), 2249 (w), 1747 (m), 1716 (m),

1690 (m), 1496 (m); $\delta_{\rm H}$ (CDCl₃, 400 MHz) 1.15 (3H, d, *J* 7.0, CH<u>Me</u>), 2.37 (2H, dd, *J* 7.7 and 4.9, 2xH(6)), 2.87 (1H, m, H(4')), 2.97 (1H, m, H(5a')), 3.83 (2H, m, H(5b')+H(4endo)), 4.1 (1H, m, H(5)), 4.3 (1H, m, H(4exo)), 5.85 (1H, bs, NH), 6.3 (1H, s, H(2)), 7.25–7.5 (5H, m, ArH); $\delta_{\rm c}$ (CDCl₃, 100.6 MHz) 15.3 (Me), 26.0 (C(6)), 37.2 (C(4')), 47.5 (C(5')), 56.5 (C(5)), 61.4 (C(7)), 71.8 (C(4)), 87.2 (C(2)), 128.6, 128.4, 125.9 (ArCH), 138.8 (ArC), 176.7, 176.2 (C=O); *m*/*z* (ESI⁺) 345 (M+MeCN+NH₄⁺, 100%); **HRMS**: [M+H⁺] Calculated for C₁₆H₁₉O₃N₂ 287.1396, Found 287.1392.

(2*R*,5*S*,7*S*,4'*S*)-(4'-Phenyl)-spiro[1-aza-8-oxo-2-phenyl-3-oxabicyclo[3.3.0]octane]-7,3'-[pyrrolidin-2'-one] 7c

(37 mg, 21%); $R_f = 0.25$ [EtOAc]; $[a]_D^{3-} -63$ (c = 0.24 in CHCl₃); m.p. 189–191 °C; ν_{max}/cm^{-1} (thin film) 3278 (bm), 3063 (m), 3032 (m), 2884 (m), 2248 (m), 1702 (bs), 1603 (m); δ_H (CDCl₃, 200 MHz) 1.9 (1H, dd, *J* 14 and 7.2, H(6)*exo*), 2.2 (1H, dd, *J* 13.9 and 4.5, H(6)*endo*), 3.50–3.80 (3H, m, H(4)*endo*+H(5)+H(5')), 3.85–4.25 (3H, m, H(4)*exo*+H(5')+H(4')), 6.37 (1H, s, H(2)), 6.8 (1H, bs, NH), 7.1–7.5 (10H, m, ArH); δ_C (CDCl₃, 100.6 MHz) 27.6 (C(6)), 46.2 (C(5')), 48.4 (C(4')), 56.3 (C(5)), 62.5 (C(7)), 71.7 (C(4)), 87.3 (C(2)), 126.0, 127.7, 127.9, 128.4, 128.6, 129.1 (ArCH), 138.6, 139.1 (ArC) 175.4, 176.1 (C==O); *m*/*z* (ESI+) 371 (M+Na⁺, 90%), 349 (M+H⁺, 79%), 542 (100%); HRMS: [M+H⁺]: Calculated for C₂₁H₂₁O₃N₂ 349.1552, Found 349.1559.

(3*R*,5*S*,9*S*)-3-Hydroxymethyl-9-phenyl-2,7-diazaspiro[4.4]nonane-1,6-dione 8a

To a solution of the spirolactam **7c** (0.12 g, 0.34 mmol) in DCM (10 ml) at RT was added TFA (0.30 ml, 3.89 mmol) drop-wise with stirring. After 1 h of stirring at RT, the solvent was removed *in vacuo* to yield a white solid **8a** on purification by flash column chromatography on alumina [MeOH] (0.074 g, 83%). $R_f = 0.44$ [MeOH] (on silica); m.p. 132–134 °C; $[a]_D^{22}$ –16 (c = 1.3 in MeOH); v_{max}/cm^{-1} (thin film) 3425 (bs), 2095 (w), 1676 (bs), 1434 (m), 1266 (m), 1205 (m), 1139 (m); δ_H (DMSO, 200 MHz) 1.50–2.00 (2H, m, 2xH(4)), 2.95–3.15 (1H, m, H(3)), 3.25–4.10 (5H, m, 2xH(8)+H(9)+CH₂OH), 7.1–7.5 (5H m, ArH); δ_C (DMSO, 100.6 MHz) 29.3 (C(4)), 45.5 (C(9)), 47.8 (C(8)), 53.6 (C(3)), 58.3 (C(5)), 65.8 (CH₂OH), 128.0, 128.6, 129.2, 129.5 (ArCH), 140.4 (ArC), 175.9, 176.5 (C=O); m/z (ESI⁺) 283 (M+Na⁺, 100%), 261 (M+H⁺, 48%); HRMS [M+H⁺]: Calculated for C₁₄H₁₇O₃N₂ 261.1239, Found: 261.1243.

(3*R*,5*S*,9*S*)-3-Methyloxycarbonyl-9-phenyl-2,7-diazaspiro[4.4]nonane-1,6-dione 8c

To a stirred solution of lactam **8a** (0.76 g, 2.9 mmol) in acetonitrile (35 ml) was added a solution of NaIO₄ (4.78 g, 22.0 mmol) in water (50 ml) and the reaction mixture was stirred at RT for 10 min. RuCl₃.H₂O (0.09 g, 0.4 mmol) was added and the reaction mixture was allowed to stir for 16 h. The solvents were removed *in vacuo* and the residue was dissolved in THF (50 ml). A solution of diazomethane in ether was then added until in excess, and stirring continued for 1 h. Water (70 ml) was added to the mixture, the layers separated and the product extracted with EtOAc (3 × 70 ml). The organic phases were combined, dried over MgSO₄ and the solvent was removed *in vacuo*. Purification by flash column chromatography with EtOAc and then MeOH gave the product

as a single diastereomer **8c** (0.126 g, 15%) as a pale oil. $\mathbf{R}_{f} = 0.03$ [EtOAc]; \mathbf{v}_{max}/cm^{-1} (thin film) 3233 (s), 3011 (s), 1720 (bs), 1438 (s); δ_{H} (CDCl₃, 400 MHz) 1.97–2.10 (1H, m, H(4)*exo*), 2.43 (1H, dd, J13.7 and 4.1, H(4)*endo*), 3.53–3.60 (1H, m, H(9)), 3.73 (3H, s, OMe), 3.83–3.90 (1H, m, H(3)), 3.93–4.03 (2H, m, 2xH(8)), 7.15–7.40 (5H, m, ArH), 6.9–7.1 (2H, bs, NH); δ_{C} (CDCl₃, 100.6 MHz) 30.1 (C(4)), 46.4, 46.5 (C(8)+C(9)), 52.6 (MeO), 53.1 (C(3)), 127.8, 127.8, 129.1 (ArCH), 140.0 (ArC), 171.2, 171.5, 175.6, 175.7 (C=O); *m*/*z* (ESI+) 311 (M+Na⁺, 72%), 289 (M+H⁺, 29%), 599 (100%); **HRMS** [M+H⁺]: Calculated for C₁₅H₁₇N₂O₄ 289.1188, Found 289.1187.

(2*R*,5*S*,7*S*)-Spiro[1-aza-8-oxo-2-phenyl-3-oxa-bicyclo[3.3.0]octane-7,3'-[1',3'-dihydro-2'-oxo-7'-aminoindolinone] 9

To a mixture of iron powder (340 mg, 0.0061 M) and ammonium chloride solution (aq.) (0.60 g, 0.012 M) in distilled water (10 ml) was added a methanolic solution of the substrate 5a (0.45 g, 0.0011 M in 50 ml) over 10 min at RT. The resultant mixture was heated at gentle reflux for 2.5 h. Subsequent work up involved suction filtration through Celite[®], washing with methanol (50 ml) and evaporation of the combined washings to dryness in vacuo. Purification by flash column chromatography with an eluent of [EtOAc/(40-60) Petrol, 3:7] gave the product 9 as a white solid (42 mg, 15%) as a single isomer. $R_f = 0.36$ [EtOAc]; $[a]_D^{23} + 190$ (c = 0.3 in DCM); **m.p.** 152–155 °C; v_{max}/cm^{-1} (thin film) 3369 (bs), 1724 (s), 1692 (s), 1636 (s), 1512 (m), 1471 (m), 1340 (m), 1264 (m); $\delta_{\rm H}$ (400 MHz, CDCl₃) 1.69 (2H, bs, NH₂), 2.53 (1H, dd, J 13.6 and 7.2, H(6)), 2.69 (1H, dd, J 13.7 and 5.1, H(6)) 3.85-3.95 (1H, m, H(4)), 4.33-4.45 (2H, m, H(4)+H(5)), 6.15-6.20 (1H, m, H(8')), 6.28 (1H, dd, J 8.0 and 1.5 H(6')), 6.37 (1H, s, H(2)), 6.99 (1H, d, J 8.1, H(5')), 7.35–7.52 (5H, m, ArH), 8.27 (1H, bs, NH); δ_c (CDCl₃, 100.6 MHz) 33.6 (C(6)), 56.9 (C(5)), 71.9 (C(4)), 87.3 (C(2)), 97.9 (C8'), 109.0 (C(6)), 123.5 (C(5')), 126.0, 128.5 (ArCH), 128.7 138.4, 142.2, 147.9 (ArC), 174.2, 176.70 (C=O); m/z (ESI+) 358 (100%, M+Na⁺), 336 (57%, M+H⁺); HRMS [M+Na⁺] Calculated for C₁₉H₁₇N₃O₄Na 358.1168, Found: 358.1162.

7'-Amino-3'-hydroxymethyl-1*H*-spiro[indole-3,3'-pyrrolidine]-1,2'dione 10

To a stirred solution of spirolactam **9** (0.075 g, 0.20 mmol) in DCM (8 ml) at RT was added TFA (0.3 ml, 6.5 mmol). After 2 h at RT, the solvent was removed *in vacuo* to yield the product **10** as a viscous oil (33 mg, 60%) as a single isomer. $\delta_{\rm H}$ (D₂O, 200 MHz) 2.37 (1H, dd, *J* 14.0 and 6.7, H(4)), 2.53 (1H, dd, *J* 13.9 and 7.7, H(4)), 3.60 (1H, dd, *J* 11.6 and 6.4, C<u>H</u>HOH), 3.73 (1H, dd, *J* 11.6 and 4.5, CH<u>H</u>OH), 4.07–4.20 (1H, m, H(3)), 6.95 (1H, d, *J* 1.8, ArH), 7.0 (1H, d, *J* 2.0, ArH), 7.05 (1H, d, *J* 2.1, ArH), 7.37 (1H, d, *J* 8.0, ArH); $\delta_{\rm c}$ (D₂O, 125.75 MHz) 32.6 (C(4)), 54.2 (C₃), 64.0 (CH₂OH), 105.8, 124.3 (ArCH); **HRMS (Probe EI/FI)**: Calculated for C₁₂H₁₃N₃O₃ 247.0957, Found: 247.1657.

(2*R*,5*S*,7*S*/*R*)-Spiro-[1-aza-8-oxo-2-phenyl-3-oxa-bicyclo-[3.3.0]octane]-7,3'-[3',4'-dihydro-2'-oxo-3'-quinoline] 11a,b

A methanolic solution of the substrate **3b** (0.18 g, 0.43 mmol) in water (2.3 ml) was added to a stirred slurry of iron powder (77.5 mg, 1.4 mmol) and ammonium chloride (0.12 g, 2.3 mmol) in distilled water (2.2 ml) over a duration of 10 min at RT.

The resultant mixture was heated at a gentle reflux for 2.5 h. Subsequent workup involved hot filtration and a hot methanol wash $(2 \times 2 \text{ ml})$, and the solvent was removed from the combined washings *in vacuo*. Purification by flash column chromatography with an eluent of [EtOAc/(40–60) Petrol, 3:7] gave white solids (20 mg, 32%) in a ratio of 1:1.

Data for 11a. $R_{\rm f} = 0.05$ [EtOAc/Petrol (40–60), 3 : 7]; $[a]_{\rm D}^{23} + 10$ (c = 0.12 in DCM); m.p. 246–248 °C; $v_{\rm max}$ /cm⁻¹ (thin film) 3265 (m), 2917 (m), 1700 (m), 1672 (m), 1595 (m), 1497 (m), 1374 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 2.23 (1H, dd, *J* 13.8 and 7.6, H(6)*exo*), 2.67 (1H, dd, *J* 13.8 and 4.5, H(6)*endo*), 3.13 (1H, d, *J* 16.0, C<u>H</u>HPh), 3.47 (1H, d, *J* 16.0, CH<u>H</u>Ph), 3.75 (1H, t, *J* 8.1, H(4)*exo*), 4.10– 4.25 (1H, m, H(5)), 4.30 (1H, dd, *J* 7.45 and 6.05, H(4)*endo*), 6.30 (1H, s, H(2)), 6.8 (1H, d, *J* 7.2, ArH), 6.95–7.57 (6H, m, ArH), 8.1 (1H, bs, NH); $\delta_{\rm c}$ (125.75 MHz, CDCl₃) 32.3 (C(6)), 36.7 (<u>CH</u>₂Ph), 54.8 (C(7)), 55.8 (C(5)), 71.5 (C(4)), 87.5 (C(2)), 115.0, 123.5, 126.0, 128.1, 128.3, 128.4, 128.7 (ArCH), 120.8, 136.5, 138.7 (ArC), 169.4, 175.3 (C=O); *m/z* (ESI⁺) 393 (M+NH₄+MeCN⁺,100%); **HRMS** [M+Na⁺] Calculated for C₂₀H₁₈N₂O₃Na 357.1215, Found 357.1221.

Data for 11b. $R_{\rm f}$ =0.13 [EtOAc/(40–60) Petrol, 3 : 7]; $|a|_{\rm D}^{23}$ +250 (*c* = 0.35 in DCM); m.p. 101–103 °C; $v_{\rm max}$ /cm⁻¹ (thin film) 2922 (s), 2851 (m), 1709 (s), 1675 (s), 1597 (m), 1461 (m), 1374 (m), 1322 (m), 1249 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.83 (1H, dd, *J* 13.1 and 7.1, H(6)), 2.77 (1H, dd, *J* 13.1 and 6.7, H(6)), 2.95 (1H, d, *J* 16.2, CHHPh), 3.50–3.63 (2H, m, H(4)+CHHPh), 4.20–4.30 (1H, m, H(5)), 4.37 (1H, t, *J* 6.9, H(4)), 6.37 (1H, s, H(2)), 6.70–6.78 (1H, m, ArH), 7.0–7.57 (7H, m, ArH), 8.60 (1H, bs, NH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 35.2 (C(6)), 37.4 (CH₂Ph), 56.0 (C(7)), 56.4 (C(5)), 72.0 (C(4)), 87.0 (C(2)), 115.0, 123.7, 126.2, 127.8, 128.4, 128.5, 128.7 (ArCH), 121.6, 135.8, 137.6 (ArC), 169.3, 172.9 (C=O); m/z (ESI⁺) 393 (M+NH₄+MeCN⁺, 100%); HRMS: [M+H⁺] Calculated for C₂₀H₁₉N₂O₃ 335.1396, Found: 335.1389.

(2*R*,5*S*,7*R*) and (2*R*,5*S*,7*S*)-1-Aza-7-(2-oxo-2-phenylethyl)-7-(ethoxycarbonyl)-3-oxo-8-oxa-2-phenyl-bicyclo[3.3.0] octane 12a

To a stirred suspension of pre-washed NaH (0.15 g, 6.0 mmol) in dry THF (40 ml) at 0 °C under nitrogen atmosphere was added a solution of lactam 2b (0.88 g, 3.2 mmol) in THF (20 ml), and the mixture was stirred at RT for 20 min. A solution of α bromoacetophenone (1.05 g, 2.70 mmol) in THF (20 ml) was added and the mixture was stirred at RT for 16 h. The reaction was quenched by pouring the mixture into NH₄Cl (aq.)/EtOAc (1:1) (100 ml) and the aqueous portion was extracted with EtOAc $(2 \times 50 \text{ ml})$. The organic extracts were combined, washed with water (50 ml) and sat. brine (50 ml), dried over MgSO₄ and the solvent removed in vacuo, which was purified by flash column chromatography [(40/60) Petrol/EtOAc, 40:1] to give 12a (0.74 g, 59%) as a pale yellow oil and as an inseparable mixture of diastereomers A and B (ratio B : A 1 : 2). $R_f = 0.20$ [EtOAc/(40–60) Petrol, 1:4]; v_{max}/cm^{-1} (thin film) 3064 (m), 2983 (m), 2253 (m), 1721 (s), 1707 (s), 1699 (s); δ_H (CDCl₃, 200 MHz) 1.23–1.37 (6H, m, CH₃CH₂O(A+B)) 1.93 (1H, dd, J 13.3 and 7.0, H(6)(B)), 2.40 (1H, dd, J 14.5 and 8.2, H(6)(A)), 3.03 (1H, dd, J 14.5 and 3.9, H(6)(A)), 3.25 (1H, dd, J13.3 and 7.3, H(6)(B)), 3.27–3.5 (2H, d, J 18.7, CHHCOPh(A+B)), 3.70 (1H, t, J 7.9, H(4)(B)), 3.80 (1H, t, J 8.3, H(4)(A)), 4.03–4.57 (10H, m, H(5) (A+B)+H(4)(A+B)+

CH<u>H</u>COPh (A+B)+CH₃C<u>H</u>₂O(A+B)), 6.27 + 6.35 (2H, s, H(2)(A+B)), 7.05–7.67+ 7.67–8.10 (20H, m, ArH (A+B)); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 13.9 (<u>C</u>H₃CH₂O(A+B)), 31.6 (C(6)(A)), 36.2 (C(6)(B)), 43.4 (<u>C</u>H₂COPh(B)), 44.0 (<u>C</u>H₂COPh(A)), 56.6 (C(5)(A)), 57.2 (C(5)(B)), 57.6 (C(7)(A)), 58.6 (C(7)(B)), 62.0, 62.1 (CH₃<u>C</u>H₂O(A+B)), 71.4 (C(4)(A)), 72.3 (C(4)(B)) 87.2 (C(2)(B)), 87.6 (C(2)(A)), 126.0, 126.1, 128.0, 128.1, 128.3, 128.5, 128.70, 129.7, 133.6, 133.6 (ArCH(A+B)), 136.1, 136.2, 138.1, 138.6 (ArC(A+B)), 170.1, 172.7, 196.5, 196.8(C=O(A+B)); *m/z* (ESI⁺) 452 (M+MeCN+NH₄⁺,100%); **HRMS** [M+H⁺] Calculated for C₂₃H₂₄NO₅ 394.1654, Found: 394.1646.

(2*R*,5*S*,7*R*,5'*R*), (2*R*,5*S*,7*S*,5'*S*) and (2*R*,5*S*,7*R*,5'*S*)-Spiro[1oxa-8-oxo-2-phenyl-3-oxa-bicyclo[3.3.0]octane]-7,3'-[5'phenyldihydrofuran-2'-one] 13a

The lactam **12a** (0.83 g, 2.1 mmol) were dissolved in EtOH (55 ml). At 0 °C, NaBH₄ (0.094 g, 2.5 mmol) was added portion-wise and the mixture was stirred for 2 h. The reaction mixture was acidified with glacial acetic acid until the solution was neutral in pH, filtered through a bed of Celite[®] and the solvent removed *in vacuo*. Purification by flash column chromatography [EtOAc/(40–60) Petrol, 1:5] gave the product isomers as white solids (0.18 g, 25%).

Data for 13a. $R_{\rm f} = 0.17$ [EtOAc/(40–60) Petrol, 3.5:6.5]; $[a]_{21}^{21}$ +155 (c = 0.2 in CHCl₃); **m.p.** 197–200 °C; $v_{\rm max}/\rm cm^{-1}$ (thin film) 1752 (m), 1708 (m), 1458 (m), 1387 (w), 1332 (w); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 2.45–2.95 (4H, m, 2xH(6)+2xH(4')), 3.85 (1H, dd, *J* 8.6 and 7.8, H(4) *endo*), 4.10–4.25 (1H, m, H(5)), 4.35 (1H, dd, *J* 7.5 and 6.1, H(4)*exo*), 5.5 (1H, dd, *J* 9.6 and 6.8, H(5')), 6.4 (1H, s, H(2)), 7.30–7.55 (10H, m, ArH); $\delta_{\rm c}$ (CDCl₃, 100.6 MHz), 33.2 (C(6)), 42.7 (C(4')), 56.5 (C(5)), 57.0 (C(7)), 71.1 (C(4)), 79.7 (C(5')), 87.7 (C(2)), 125.9, 126.0, 128.5, 128.8, 128.9, 129.0 (ArCH), 137.9, 138.3 (ArC), 174.8 + 175.6 (C=O); *m/z* (ESI⁺) 408 (M+MeCN+NH₄⁺, 100%); **HRMS**: [M+H⁺] Calculated for C₂₁H₂₀NO₄ 350.1392, Found: 350.1405.

Data for 13a'. $R_f = 0.07$ [EtOAc/(40–60) Petrol, 1:5], δ_H (CDCl₃, 200 MHz) 1.95 (1H, dd, *J* 13 and 7.3, H(6) *endo*), 2.15 (1H, dd, *J* 12.9 and 9.7, H(4b')), 2.87 (1H, dd, *J* 12.9 and 6.8, H(6)*exo*), 3.20 (1H, dd, *J* 13, 6.3, H(4a')), 3.60 (1H, dd, *J* 8.35 and 7.2, H(4)*endo*), 4.25 (1H, dd, *J* 8.3 and 6.5, H(4)*exo*), 4.50–4.67 (1H, m, H(5)), 5.90 (1H, dd, *J* 9.7 and 6.2, H(5')), 6.37 (1H, s, H(2)), 7.30–7.63 (10H, m, ArH); δ_c (CDCl₃, 100.6 MHz), 36.0 (C(6)), 40.8 (C(4')), 56.4 (C(5)), 58.4 (C(7)), 71.7 (C(4)), 79.9 (C(5')), 87.1 (C(2)), 125.5, 126.0, 128.6, 128.7, 128.8, 129.0 (ArCH), 137.1, 138.6 (ArC), 172.2, 174.9 (C=O).

Data for 13a''. $R_{\rm f} = 0.04$ [EtOAc/(40–60) Petrol, 1 : 5]; $\delta_{\rm H}$ (200 MHz, CDCl₃) 2.20–2.40 (2H, m, H(6) *exo*+H(4a')), 2.75 (1H, dd, *J* 14.0 and 4.4, H(6)*endo*), 3.13 (1H, dd, *J* 13.0 and 3.0, H(4b')), 3.80 (1H, m, H(4)*exo*), 4.07–4.25 (1H, m, H(5)), 4.30–4.43 (1H, m, H(4)*endo*), 5.83–5.97 (1H, m, H(5')), 6.30 (1H, s, H(2)), 7.20–7.60 (10H, m, ArH); $\delta_{\rm c}$ (CDCl₃, 100.6 MHz), 31.9 (C(6)), 43.5 (C(4')), 56.6 (C(5)), 58.1 (C(7)), 71.7 (C(4)), 79.2 (C(5')), 87.5 (C(2)), 125.5, 126.0, 128.6, 128.8, 128.9, 128.9 (ArCH), 138.1, 138.2 (ArC), 174.6, 175.0 (C=O).

(2R,5S,7R,5'R) and (2R,5S,7S,5'S)-Spiro[1-oxa-8-oxo-2-phenyl-3-oxa-bicyclo[3.3.0]octane]-7,3'-[5'-hydroxymethyldihydrofuran-2'-one] 13b and 13b'

The lactam **12b** (0.25 g, 0.80 mmol) was dissolved in acetone (60 ml) and distilled water (7 ml). At -10 °C with stirring, K₂OsO₄·2H₂O (0.03 g, 0.08 mmol) was added and the reaction mixture was allowed to stir at RT for 2 days, after which the reaction had produced a black precipitate which was filtered under suction. The filtrate was diluted with Na₂SO₃ (aq.) (20 ml) and extracted with EtOAc (2 × 40 ml). The organic layers were combined, dried over Na₂SO₄ and the solvent removed *in vacuo*. Purification was by flash column chromatography with an eluent of [EtOAc/(40–60) Petrol, 3:1] to give a white solid as a partially separated mixture of diastereomers **13b** and **13b'** (35 mg, 15%).

Data for 13b. $R_{\rm f} = 0.31$ [EtOAc/(40–60) Petrol, 3:1]; $[al_{\rm D}^{23}$ +89 (c = 0.04 in CHCl₃); m.p. 156–158 °C; $v_{\rm max}$ /cm⁻¹ (thin film) 3490 (bm), 3030 (s), 1748 (s), 1691 (s), 1349 (m), 1158 (m), 1048 (m); $\delta_{\rm H}$ (CDCl₃, 400 MHz) 2.27–2.47 (2H, m, H(6)+H(4')), 2.60– 2.80 (2H, m, H(4')+H(6)), 3.60 (1H, bd, *J* 11.2, C<u>H</u>HOH), 3.75 (1H, t, *J* 8.3, H(4)), 4.03 (1H, bd, *J* 12.3, CH<u>H</u>OH), 4.15 (1H, bs, H(5)), 4.3 (1H, t, *J* 6.6, H(4)), 4.93 (1H, bs, H(5')), 6.25 (1H, s, H(2)), 7.30–7.55 (5H, m, ArH); $\delta_{\rm c}$ (CDCl₃, 100.6 MHz) 32.3, 35.4 (C(6)+C(4')), 56.6 (C(5)), 57.7 (C(7)), 62.3 (CH₂OH), 71.6 (C(4)), 78.6 (C(5')), 87.4 (C(2)), 125.9, 128.5, 128.9 (ArCH), 138.2 (ArC), 174.8, 175.5 (C=O); *m*/z (ESI-) 302 (M–H⁻, 48%), 362 (100%); **HRMS** [M+H⁺] Calculated for C₁₆H₁₈NO₅ 304.1185, Found: 304.1184.

Data for 13b'. $R_{\rm f} = 0.19$ [EtOAc/(40–60) Petrol, 3:1], $v_{\rm max}$ /cm⁻¹ (thin film) 3427 (bs), 2931 (w), 1773 (m), 1697 (m), 1448 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.97 (1H, dd, *J* 13.1 and 7.3, H(6)), 2.2 (1H, dd, *J* 12.9 and 8.7, H(4')), 2.83 (1H, dd, *J* 12.9 and 6.9, H(4')), 2.87 (1H, dd, *J* 13.1 and 6.9, H(6)) 3.57 (1H, t, *J* 7.9, H(4)), 3.63 (1H, d, *J* 12.5, C<u>H</u>HOH), 4.03 (1H, d, *J* 12.6, CH<u>H</u>OH) 4.23 (1H, dd, *J* 8.3 and 6.5, H(4)), 4.45–4.55 (1H, m, H(5)), 4.9–4.97 (1H, m, H(5')), 6.3 (1H, s, H(2)), 7.3–7.5 (5H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 32.6 (C(4')), 36.4 (C(6)), 56.3 (C(5)), 58.2 (C(7)), 62.8 (CH₂OH), 71.7 (C(4)), 79.1 (C(5')), 87.0 (C(2)), 126.0, 128.6, 128.9 (ArCH), 137.2 (ArC), 172.2, 175.2 (C==O); *m*/*z* (ESI⁺) 362 (M+NH₄+MeCN⁺, 100%); **HRMS** [M+MeCN+Na⁺] Calculated for C₁₈H₂₀N₂O₃Na 367.1270, Found: 367.1270.

(2*R*,5*S*,7*R*,5'*R*)- and (2*R*,5*S*,7*S*,5'*R*)-Spiro[1-oxa-8-oxo-2-phenyl-3-oxa-bicyclo[3.3.0]-octane]-7,3'-[5'-iodomethyldihydrofuran-2'one] 14a,b

The lactam **12b** (0.17 g, 0.50 mmol) was dissolved in a mixture of MeOH/water (3:1) (11 ml) containing LiOH·H₂O (0.090 g, 2.1 mmol) and stirred at RT for 16 h. The resulting reaction mixture was then diluted with EtOAc (25 ml), washed with 1% HCl (aq.) (25 ml) and then extracted with EtOAc (2 × 25 ml). The organic layers were combined and washed with water (10 ml). The solvent was removed *in vacuo* to yield the acid (0.15 g, 100%) as a mixture diastereomers A and B (2:1 A:B). v_{max}/cm^{-1} (thin film) 2982 (bs), 1708 (bs), 1378 (s), 1026 (s); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 1.93 (1H, dd, *J* 13.5 and 6.4, H(6)(B)), 2.47–2.95 (7H, m, 2xH(6)(A)+H(6) (B)+ 2xH(8)(A+B))), 3.47–3.70 (2H, m, H(4)(A+B)), 4.03–4.20 (1H, m, H(5)(A)), 4.20–4.40 (3H, m, H(5)(B)+H(4)(A+B))),

5.03–5.33 (4H, m, 2xH(10)(A+B)), 5.60–5.90 (2H, m, H(9)(A+B)), 6.33 (2H, s, H(2)(A+B)) 7.30–7.55 (10H, m, ArH(A+B)), 8.63 (2H, bs, CO₂H(A+B)); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 31.7 (C(6)(A)), 33.8 (C(6)(B)), 38.6 (C(8) (B)), 40.3 (C(8) (A)), 56.1 (C(5) (A)), 56.3 (C(5)(B)), 71.9 (C(4)(A)), 72.0 ((C(4)(B)), 87.0 (C(2)(B)), 87.1 (C(2)(A)) 120.0 (C(10) (B)), 120.7 (C(10)(A)), 125.9, 126.1, 128.5, 128.6, 128.8, 129.0(ArCH (A+B)), 137.6, 137.7 (ArC), 131.3, 132.4 (C(9)(A+B)), 172.8, 176.0 (C=O(A)), 173.4, 174.2 (C=O(B)); *m*/*z* (ESI⁻) 242 (M–CO₂–H⁻, 100%) 286 (M–H⁻, 80%); **HRMS** [M+H⁺]: Calculated for C₁₆H₁₈NO₄ 288.1236 Found: 288.1234.

The above acid (0.21 g, 0.70 mmol) was dissolved in NaHCO₃ (4 ml, 1 M) and a solution of I₂ (0.18 g, 0.70 mmol) and KI (0.36 g, 2.0 mmol) in water (13 ml) added drop-wise. The mixture was left to stir for 1 h at RT. The product was then extracted into ether (20 ml) and then washed with 5% Na₂SO₃·5H₂O (aq.) (20 ml), sat. brine (20 ml) and then dried over MgSO₄. The organic layer was then filtered and concentrated *in vacuo* which was purified by flash column chromatography [(40–60) Petrol/EtOAc, 7:3] to give a mixture of **14a** and **14b** diastereomers as partially separable white solids (60 mg, 20%).

Data for 14a. $R_{\rm f}$ =0.20 [EtOAc/(40–60) Petrol, 3 : 7]; $[a]_{\rm D}^{23}$ +150 (*c* = 0.69 in DCM), m.p. 179–180 °C; $v_{\rm max}$ /cm⁻¹ (thin film) 2916 (w), 1772 (s), 1699 (s), 1495 (m), 1453 (m), 1352 (m); $\delta_{\rm H}$ (CDCl₃, 200 MHz) 2.05 (1H, dd, *J* 13.1 and 9.5, H(4b')) 2.30 (1H, dd, *J* 14.1 and 7.8, H(6)*exo*), 2.73 (1H, dd, *J* 14.1 and 4.4, H(6)*endo*), 2.95 (1H, dd, *J* 13.1 and 6, H(4a')), 3.35 (1H, dd, *J* 10.6 and 4.2, CH<u>H</u>I), 3.45 (1H, dd, *J* 10.5 and 7.3, C<u>H</u>HI), 3.75 (1H, t, *J* 8.1, H(4)*endo*), 4.07–4.27 (1H, m, H(5)), 4.83 (1H, dd, *J* 7.8 and 6.0, H(4)*exo*), 4.75–4.95 (1H, m, H(5')), 6.33 (1H, s, H(2)), 7.27–7.60 (5H, m, ArH); $\delta_{\rm C}$ (CDCl₃, 100.6 MHz) 6.5 (CH₂I), 31.8 (C(6)), 41.4 (C(4')), 56.6 (C(5)), 58.1 (C(7)), 71.8 (C(4)), 76.1 (C(5')), 87.5 (C(2)), 125.9, 128.6, 128.9 (ArCH), 138.1 (ArC), 174.4, 174.5 (C==O); *m/z* APCI (NH₃) 414 (M+H⁺, 100%); **HRMS** [M+H⁺] Calculated for C₁₆H₁₇O₄IN 414.0202, Found: 414.0198.

Data for 14b. $R_{\rm f} = 0.11$ [EtOAc/(40–60) Petrol, 3 : 7]; $[a]_{\rm D}^{21} + 1.4$ (c = 0.03 in DCM); m.p. 136–138 °C; $\delta_{\rm H}$ (CDCl₃, 200 MHz) 2.0 (1H, dd, J 13.1 and 7.8, H(6)endo), 2.37 (1H, dd, J 13.9 and 8.1, H(4b')), 2.75–2.95 (2H, m, H(6)exo+H(4a')), 3.43–3.70 (3H, m, H(4)endo+CH₂I), 4.25 (1H, dd, J 8.45 and 6.4, H(4)exo), 4.45–4.55 (1H, m, H(5)), 4.55–4.85 (1H, m, H(5')), 6.33 (1H, s, H(2)), 7.30–7.57 (5H, m, ArH); $\delta_{\rm c}$ (CDCl₃, 100.6 MHz) 6.0 (CH₂I) 36.2 (C(6)), 37.7 (C(4')), 56.3 (C(5)), 58.0 (C(7)), 71.7 (C(4)), 77.9 (C(5')), 87.2 (C(2)), 125.9, 128.7, 129.0 (ArCH), 137.0 (ArC), 174.7, 175.6 (C=O).

(S)-1-tert-Butyl-2-ethyl-5-oxopyrrolidine-1,2-dicarboxylate 15a55

To a stirred mixture of **1a** (2.0 g, 12.7 mmol) in DCM (5 ml) was added triethylamine (2.7 ml 19 mmol) and DMAP (0.16 g, 1.2 mmol) and a further 15 ml of DCM added. Boc₂O (3.10 g, 13.7 mmol) dissolved in DCM (5 ml) was then added slowly. The reaction was left for 24 h at RT under a nitrogen atmosphere. The mixture was worked up by adding 30 ml of 0.1 M HCl and separating the aqueous and organic layers. The aqueous layer was washed with DCM (3 × 30 ml) and the combined organics were dried and concentrated *in vacuo*. The crude product was passed through a silica plug (20 ml) and eluted with EtOAc (3 × 40 ml). On solvent removal, a pale orange oil **15a** was obtained

(2.12 g, 65%). R_f 0.54 [EtOAc]; δ_H (400 MHz, CDCl₃) 1.24 (3H, t, *J* 7.1, CH₃CH₂O), 1.43 (9H, s, (CH₃)₃O), 1.92–2.06 (1H, m, H(3)), 2.22–2.36 (1H, m, H(3)), 2.38–2.51 (1H, m, H(4)), 2.52–2.66 (1H, m, H(4)), 4.18 (2H, q, *J* 7.1, CH₃CH₂O), 4.55 (1H, dd, *J* 9.2, 3.0, H(4)); δ_c (100 MHz, CDCl₃) 14.0 (CH₃CH₂O), 21.4 (C(3)), 27.7 ((CH₃)₃CO), 31.0 (C(4)), 58.8 (C(2)), 61.5 (CH₃CH₂O), 83.4 ((CH₃)₃CO), 149.1, 171.2, 173.3 (C=O); *m/z* (ESI⁺) 280 (100%, M+Na⁺.).

(S)-1-(*tert*-Butoxycarbonyl)-4-(ethoxycarbonyl)pyroglutamate 15b⁷¹

To a stirred solution of 15a (0.37 g, 1.44 mmol) in dry THF (5 ml) at -78 °C was added a 1 M solution of lithium hexamethyldisilazide (2.93 ml, 2.88 mmol) in THF (5 ml). After stirring for 1 h, ethyl chloroformate (0.16 ml, 1.73 mmol) was added and stirring continued for 16 h, allowing the reaction to warm to RT. The reaction mixture was quenched with saturated ammonium chloride solution (10 ml) and extracted with EtOAc (3×5 ml). The combined organics were dried over MgSO4 and concentrated in vacuo. The resulting oil was purified by flash chromatography [40/60 Petrol: EtOAc (4:1)] yielding 15b as an inseparable mixture of two diastereoisomers in the ratio of 2:1 (0.31 g, 65%). $R_{\rm f}$ 0.21 [EtOAc/(40/60) Petrol, 1:2]; $[a]_{D}^{22}$ -10.6 (c = 2.6 in CHCl₃); $v_{\rm max}/{\rm cm}^{-1}$ (thin film) 2983 (bm), 1797 (s), 1736 (s), 1459 (s), 1371 (m), 1153 (m), 1028 (m); $\delta_{\rm H}$ (500 MHz, C₆D₆) 0.86–0.94 (6H, t, J 7.1, CH₃CH₂O), 1.35 (9H, s, (CH₃)₃CO(A)), 1.39 (4.5H, s, (CH₃)₃CO(B)), 1.62–1.72 (1.5H, m, H(3)(A+B)), 2.29–2.41 (1.5H, m, H(3)(A+B)), 3.00 (0.5H, dd, J 9.8 and 4.9, H(4)(B)), 3.47 (1H, dd, J 10.7 and 9.0, H(4)(A)), 3.81–4.07 (8H, m, CH₃CH₂O(A+B)), 4.33 (0.5H, dd, J 9.5 and 4.1, H(2)(B)), 4.43 (1H, dd, J 9.6 and 2.1, H(2)(A)); δ_c (100 MHz, C₆D₆) 14.4 (CH₃CH₂O(A+B)), 24.9 (C(3)(A)), 25.7 (C(3)(B)), 28.2 ((CH₃)₃CO(A+B)), 49.3 (C(4)(A)), 49.5 (C(4)(B)), 57.6 (C(2)(A)), 58.0 (C(2)(B)), 61.8 (CH₃<u>C</u>H₂O(B)), 61.9 (CH₃CH₂O(A)), 62.1 (CH₃CH₂O(B)), 62.2 (CH₃CH₂O(A)), 83.6 ((CH₃)₃CO(B)), 83.8 ((CH₃)₃CO(A)), 150.6, 150.7, 166.8, 167.6, 168.1, 168.6, 170.9, 171.4 (C=O); HRMS: [M+Na⁺.] Calculated for C₁₅H₂₃NNaO₇ 352.1367, Found: 352.1358.

(2*S*)-1-(*tert*-Butoxycarbonyl)-2,4-diethyl-4-(2,4-dinitrophenyl)-5oxopyrrolidine-2,4-dicarboxylate 16a

To a stirred suspension of 15b (1.58 g, 4.8 mmol) in dry DMF (35 ml) was added Et₃N (0.53 g, 5.3 mmol). The mixture was left stirring for 20 min and then 2,4-dinitro-1-fluorobenzene (1.07 g, 5.7 mmol) was added. The reaction was left to stir for 72 h. The reaction was worked up by the addition of saturated ammonium chloride (30 ml). The aqueous layer was separated and extracted with EtOAc (3 \times 10 ml). The combined organics were then concentrated in vacuo. The crude oil was dissolved in EtOAc (10 ml) and washed with distilled water $(2 \times 5 \text{ ml})$, brine (5 ml) and dried over MgSO₄ and concentrated *in vacuo*. The solid was purified by flash chromatography [40/60 Petrol: EtOAc (3:1)] yielding 16a (2.1 g, 79%). R_f 0.46 [EtOAc/(40/60) Petrol, 1:2]; $[a]_{D}^{22}$ -38.4 (c = 1 in CH₂Cl₂); v_{max} /cm⁻¹ (thin film) 3734 (m), 2984, (s), 2361 (s), 2341 (s), 1735 (m), 1607 (s), 1540 (s), 1457 (m), 1353 (bm); $\delta_{\rm H}$ (400 MHz, CDCl₃) 1.19 (3H, t, J 7.14, CH₃CH₂O), 1.35 (3H, t, J 7.14, CH₃CH₂O), 1.55 (9H, s, (CH₃)₃CO), 2.63 (1H, dd, J 14.3 and 10.2, H(3)), 3.53 (1H, dd, J 14.3 and 3.7, H(3)),

4.09–4.24 (2H, m, CH₃C<u>H</u>₂O), 4.32 (2H, q, *J* 7.0, CH₃C<u>H</u>₂O), 4.69 (1H, dd, *J* 10.2 and 3.7, H(2)), 7.68 (1H, d, *J* 8.8, H(2)'), 8.46 (1H, dd, *J* 8.8 and 2.5, H(3)'), 8.88 (1H, d, *J* 2.5, H(5)'); $\delta_{\rm C}$ (100 MHz, CDCl₃) 13.64, 14.13 (<u>C</u>H₃CH₂O), 27.8 ((<u>C</u>H₃)₃CO), 34.5 (C3), 56.4 (C2), 62.1 (CH₃<u>C</u>H₂O), 62.6 (C4), 63.6 (CH₃<u>C</u>H₂O), 85.0 ((CH₃)₃<u>C</u>O), 121.2 (C5'), 127.7 (C(3)'), 131.7 (C(2)'), 139.8 (ArC), 147.2, 148.6, 148.8 (<u>C</u>NO₂+Boc C=O), 166.1, 167.8, 169.4 (C=O); **HRMS**: [M+Na⁺.] Calculated for C₂₁H₂₅N₃NaO₁₁ 518.1381, Found: 518.1379.

(2S)-1-(*tert*-Butoxycarbonyl)-2,4-diethyl-4-(2,4-diaminophenyl)-5oxopyrrolidine-2,4-dicarboxylate 16b

To a stirred mixture of iron powder (0.182 g, 3.25 mmol) and aqueous ammonium chloride (0.316 g, 5.96 mmol in 7 ml H₂O) was added 16a (0.30 g, 0.54 mmol) in 35 ml of MeOH over the course of 10 minutes, and then refluxed for 2.5 h. The mixture was filtered through Celite^(R) with MeOH $(3 \times 10 \text{ ml})$. An excess of EtOAc was then added and the organics were washed both with water (10 ml) and brine (10 ml), dried over MgSO₄ and concentrated in vacuo. Purification by flash chromatography [(40/60)Petrol: EtOAc, 1:2] yielded **16b** (0.08 g, 31%). R_f 0.41 [EtOAc]; $\delta_{\rm H}$ (400 MHz, CDCl₃) 1.08–1.31 (6H, m, C<u>H</u>₃CH₂O), 1.37 (9H, s, (CH₃)₃CO), 2.5–3.0 (2H, m, H(3)), 4.03–4.19 (5H, m, H(2)+CH₃CH₂O), 6.24 (1H, s, ArH), 6.32 (1H, d, J 7.5, ArH), 6.99 (1H, d, J 7.7, ArH); $\delta_{\rm C}$ (100 MHz, CDCl₃) 13.9, 14.1 (CH₃CH₂O), 28.3 ((CH₃)₃CO), 34.8 (C(3)), 50.4 (C(2)), 57.5 (C(2)), 61.5, 62.1 (CH₃CH₂O), 79.7 ((CH₃)₃CO), 97.9, 109.1 (ArC), 116.0 (ArC), 124.6 (ArC), 142.7 (CNH₂), 148.2, 154.9, 169.5, 172.3, 175.3 (C=O); HRMS: [M+Na⁺.] Calculated for C₂₁H₂₉N₃NaO₇ 458.1903, Found: 458.1899.

(2*S*,4*R*) and (2*S*,4*S*))-1-*tert*-Butyl-2,4-diethyl-4-(cyanomethyl)-5oxopyrrolidine-1,2,4-tricarboxylate 18a,b

To a stirred suspension of **15b** (0.50 g, 1.5 mmol) in dry THF (15 ml) was added NaH (0.044 g, 1.82 mmol) and the mixture stirred at RT for 30 min. A solution of bromoacetonitrile (0.274 g, 2.3 mmol) in THF (5 ml) was added and the mixture stirred at RT for 16 h. The reaction was quenched with ammonium chloride (10 ml) and the organic layer separated and the aqueous layer extracted with EtOAc (3×5 ml). The combined organics were washed with brine (10 ml), dried over MgSO₄ and concentrated *in vacuo*. Purification by flash chromatography [(40/60)petrol: EtOAc (3:1)] yielded a waxy white solid **18a,b** (0.52 g, 92%) as a mixture of two diastereoisomers (1:1.5), which were separated by further flash chromatography [(40/60)petrol: EtOAc (5:1)].

Data for 18a. $R_f 0.26$ [EtOAc/(40/60) Petrol, 1 : 2]; $[a]_D^{22} - 19.5$ (c = 1 in CH₂Cl₂); ν_{max} /cm⁻¹ (thin film) 2983 (bs), 2250 (m), 1796 (s), 1743 (s), 1371 (s) 1313 (s); δ_H (400 MHz, CDCl₃) 1.32 (6H, t, *J* 7.0, CH₃CH₂O), 1.50 (9H, s, (CH₃)₃CO), 2.12 (1H, dd, *J* 14.1 and 6.3, H(3)), 2.8 (1H, d, *J* 17.0, CH₂CN), 3.03 (1H, dd, *J* 13.9 and 9.0, H(3)), 3.23 (1H, d, *J* 17.0, CH₂CN), 4.22–4.34 (4H, m, CH₃CH₂O), 4.73 (1H, dd, *J* 9.0 and 6.3, H(2)); δ_C (100 MHz, CDCl₃) 13.9, 14.0 (CH₃CH₂O), 22.8 (CH₂CN), 27.7 ((CH₃)₃CO), 30.5 (C3), 54.4 (C(4)), 56.8 (C(2)), 62.2, 63.5 (CH₃CH₂O), 85.0 ((CH₃)₃CO), 116.1 (CH₂CN), 148.5, 167.5, 167.7, 170.7 (C=O); HRMS: [M+Na⁺.] Calculated for C₁₇H₂₄N₂NaO₇ 391.1476, Found: 391.1476. **Data for 18b.** $R_{\rm f}$ 0.19 [EtOAc/(40/60) Petrol, 1:2]; $[a]_{\rm D}^{22}$ -12.7 (*c* = 1 in CH₂Cl₂); $v_{\rm max}$ /cm⁻¹ (thin film) 2982 (s), 2240 (m), 1775 (s), 1753 (s), 1753 (s), 1372 (s) 1342 (s), 1310 (s); $\delta_{\rm H}$ (400 MHz, CDCl₃) 1.23–1.30 (6H, m, CH₃CH₂O), 1.51 (9H, s, (CH₃)₃CO), 2.52 (1H, dd, *J* 14.1 and 10.4, H(3)), 2.87 (1H, d, *J* 17.0, CH₂CN), 2.93 (1H, dd, *J* 14.2 and 2.2, H(3)), 3.09 (1H, d, *J* 17.0, CH₂CN), 4.15–4.35 (4H, m, CH₃CH₂O), 4.73 (1H, dd, *J* 10.4 and 2.2, H(2)); $\delta_{\rm c}$ (100 MHz, CDCl₃) 13.8, 14.1 (CH₃CH₂O), 23.7 (CH₂CN), 27.7 ((CH₃)₃CO), 30.4 (C(3)), 54.0 (C(4)), 56.2 (C(2)), 62.0, 63.4 (CH₃CH₂O), 84.6 ((CH₃)₃CO), 115.8 (CN), 148.5, 166.9, 167.4, 169.4 (C=O); **HRMS**: [M+Na⁺.] Calculated for C₁₇H₂₄N₂NaO₇ 391.1476, Found: 391.1476.

(3*S*)-2-*tert*-Butyl-3-ethyl-1,6-dioxo-2,7-diazaspiro[4.4]nonane-2,3dicarboxylate 19 and (2*S*)-1-(*tert*-butoxycarbonyl)-2,3-diethyl-4-(2-aminoethyl)-5-oxopyrolidine-2,4-dicarboxylate 20

To a solution of **17a,b** (0.62 g, 1.69 mmol) in EtOH (75 ml) was added CoCl₂· $6H_2O$ (0.80 g, 3.4 mmol) and the mixture was stirred for 5 min. NaBH₄ (0.51 g, 13.5 mmol) was then added portionwise to the blue solution which was accompanied by effervescence and a colour change through green to black. After stirring for 16 h at RT, the ethanol was removed *in vacuo* and NH₄OH (24 ml, 0.1 M) and EtOAc (75 ml) were added with stirring. After 30 min, the green solution was filtered through Celite® and washed with EtOAc (40 ml). The organic phase was separated and washed with water (15 ml), brine (15 ml), dried over MgSO₄ and then concentrated *in vacuo* to give a pale yellow oil which was purified by flash chromatography [EtOAc] to yield **19** (0.025 g, 5%) and **20** (0.025 g, 4%).

Data for 19. $R_f 0.40$ [EtOAc]; $[al_D^{22} + 8.0$ (c = 0.5 in CH₂Cl₂); v_{max}/cm^{-1} (thin film) 2981 (m), 1789 (s), 1705 (m), 1369 (s), 1309 9 (m); δ_H (400 MHz, CDCl₃) 1.3 (3H, t, *J* 7.1, CH₃CH₂O), 1.5 (9H, s, (CH₃)₃CO), 1.85 (1H, dd, *J* 13.5 and 4.4, H(3)), 2.02–2.16 (1H, m, H(1')), 2.68–2.75 (1H, m, H(1')), 2.94 (1H, dd, *J* 13.5 and 9.4, H(3)), 3.30–3.37 (1H, m, H(2')), 3.62–3.70 (1H, m, H(2')), 4.24 (2H, q, *J* 7.07, CH₃CH₂O), 4.74 (1H, dd, *J* 9.4 and 4.4, H(2)), 6.48 (1H, bs, NH); δ_C (100 MHz, CDCl₃) 14.1 (CH₃CH₂O), 27.8 ((CH₃)₃CO), 31.4 (C(3)), 32.8 (C(1')), 39.6 (C(2')), 53.8 (C(4)), 56.9 (C(2)), 61.8 (CH₃CH₂O), 84.1 ((CH₃)₃CO), 149.0, 171.5, 172.1, 174.9 (C==O); **HRMS**: [M+Na⁺.] Calculated for C₁₅H₂₂N₂NaO₆: 349.1370, Found: 349.1370.

Data for 20. $R_{\rm f}$ 0.53 [EtOAc]; $[a]_{\rm D}^{22}$ +5.6 (c = 0.5 in CH₂Cl₂); $v_{\rm max}$ /cm⁻¹ (thin film) 3355 (bm), 2980 (bm), 1710 (bm), 1513 (bm), 1368 (s); $\delta_{\rm H}$ (400 MHz, CDCl₃) 1.19–1.33 (6H, m, CH₃CH₂O), 1.41 (9H, s, (CH₃)₃CO), 2.04–2.18, 2.52–2.68 (4H, m, H H(3) and CH₂CH₂NH₂), 3.28–3.40, 3.44–3.58 (2H, m, CH₂NH₂), 4.04– 4.27 (4H, m, CH₃CH₂O), 6.71, 6.59 (2H, bs, NH₂); $\delta_{\rm C}$ (100 MHz, CDCl₃) 14.0 (CH₃CH₂O), 28.2 ((CH₃)₃CO), 34.9 (C(3)), 36.0 (CH₂CH₂NH₂), 40.0 (CH₂NH₂), 50.7 (C(2)), 53.2 (C(4)), 61.5 (CH₃CH₂O), 61.9 (CH₃CH₂O), 80.0 ((CH₃)₃CO), 155.21, 160.0, 160.7, 172.1 (C=O); HRMS: [M+Na⁺.] Calculated for C₁₇H₂₈N₂NaO₇ 395.1789, Found: 395.1785.

Single crystal X-ray diffraction data were collected at low temperature⁷² for 3a and 4b on a Nonius Kappa CCD diffractometer.⁷³ Both structures were solved using SIR92⁷⁴ and refined using the CRYSTALS software suite⁷⁵ as per the ESI \dagger (CIF file). The Flack x parameter⁷⁶ for 3a refined to -0.6(16),

however analysis of the Bijvoet pairs to gave a Hooft *y* parameter⁷⁷ of -0.4(5) giving a 97.6% probability that the structure is the correct hand (assuming enantiopurity).⁷⁸ Similarly, the Flack *x* parameter⁷⁶ for **4b** refined to 0.7(11), however analysis of the Bijvoet pairs to gave a Hooft *y* parameter of 0.0(5) giving a 91.3% probability that the structure is the correct hand (given enantiopurity).⁷⁷ In the absence of a strong anomalous signal, the Friedel pairs were merged for the final refinement for both structures. Crystallographic data (excluding structure factors) have been deposited with the Cambridge Crystallographic Data Centre (CCDC 814550 & 814551†) and copies of these data can be obtained free of charge *via* www.ccdc.cam.ac.uk/data_request/cif.

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